SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

3235-0287

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMEN Filed	OMB Number: 3 Estimated average burden hours per response:			
		or Section 30(h) of the Investment Company Act of 1940			
1. Name and Address of Reporting Person [*] <u>Hayashi Michael T</u>		2. Issuer Name and Ticker or Trading Symbol <u>Casa Systems Inc</u> [CASA]	(Check all applicable	,	
<u>ridyddin fynender r</u>			I X Director	10% 0)w

1. Name and Addres <u>Hayashi Mic</u> l	ss of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol Casa Systems Inc [CASA]	(Check	tionship of Reporting Person(s) to Issuer all applicable)			
				X	Director	10% Owner		
C/O CASA SYSTEMS, INC.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/16/2019		Officer (give title below)	Other (specify below)		
100 OLD RIVER ROAD			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X	Form filed by One Repor	ting Person		
ANDOVER	MA	01810			Form filed by More than (Person	One Reporting		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Securit	y (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V Amount (A) or Price		Transaction(s) (Instr. 3 and 4)		(1150. 4)			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	 Conversion or Exercise Price of Security Security Date (Month/Day/Year) Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Execution Date (Month/Day/Year) Expiration Date (Month/Day/Year) Image: A conversion of the conversion of														
1. Title of Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date	Execution Date, if any	Transa Code (on of tr. Derivative Securities Acquired (A) or Disposed of (D) (Instr.		Expiration Da	ate	of Securities Underlying Derivative Security		Derivative Security	derivative Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	or				
Restricted Stock Units	(1)	05/16/2019		A		22,831		(2)	(2)	Common Stock	22,831	\$0.00	22,831	D	

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents the right to receive one share of common stock, \$0.001 par value per share (the "Common Stock"), of Casa Systems, Inc. (the "Company") upon vesting, subject In Each restricted stock and (RSO) represents the right to receive one share on common stock, 30.000 par value per share (the Common stock, 30.000 par value), so to the reporting person's continued service relationship with the Company and the other terms and conditions set forth in the applicable RSU Agreement. In the sole discretion of the Company's board of directors, the Company may, with respect to any applicable vesting date, deliver to the reporting person Common Stock or cash having a fair market value equal to the number of shares of Common Stock underlying the portion of the RSU that vested on such date, payable within 30 days of the vesting date, less applicable taxes.

2. Scheduled to vest as to 100% of the original number of shares on May 16, 2020.

Remarks:

/s/ Jerry Guo, attorney-in-fact

** Signature of Reporting Person

05/20/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.