FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| <b>STATEMENT</b> | OF CHANGES | IN BENEFICIAL | . OWNERSHIP |
|------------------|------------|---------------|-------------|

| l | OMB APPRO                | VAL       |  |  |  |  |  |  |
|---|--------------------------|-----------|--|--|--|--|--|--|
|   | OMB Number:              | 3235-0287 |  |  |  |  |  |  |
|   | Estimated average burden |           |  |  |  |  |  |  |
|   | hours per response:      | 0.5       |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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|--|---|--|-------------|-----------------------|---|---|---------|---------------------------------------|--------|--------|-----------------------|---------------------------------|---|---|---|--|--|-----------------------|--|
| 1. Name and Address of Reporting Person* <u>TIBBETTS JOSEPH S JR</u> |   |  |             |                       | 2. Issuer Name and Ticker or Trading Symbol Casa Systems Inc [ CASA ] |   |         |                                       |        |        |                       |                                 | Relationship of Reporting Person(s) to Issuer (Check all applicable)              |   |   |  |  |                       |  |
|  |   |  |             |                       |   |   |         |                                       |        |        |                       |                                 | X Directo   | r   |   | 10% Ow   | ner  |                       |  |
| (Last) (First) (Middle) C/O CASA SYSTEMS, INC.,                      |   |  |             |                       |   | 3. Date of Earliest Transaction (Month/Day/Year) 12/15/2017 |         |                                       |        |        |                       |                                 |   | Officer (give title below)  |   |  |  | Other (specify below) |  |
| 100 OLD RIVER ROAD   |   |  |             |                       |   | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |         |                                       |        |        |                       |                                 |   | 6. Individual or Joint/Group Filing (Check Applicable Line)       |   |  |  |                       |  |
| (Street) ANDOV   | ER M  | ΙA   | 01810       |                       | _   12  | 12/18/2017  |         |                                       |        |        |                       |                                 | X Form filed by One Reporting Person Form filed by More than One Reporting Person |   |   |  |  |                       |  |
| (City)   | (S  | state)                                     | (Zip)       |                       |   |   |         |                                       |        |        |                       |                                 |   |   |   |  |  |                       |  |
|  |   | Та   | ble I - Nor | n-Deri                | ivativ  | ve Se   | curitie | s Acc                                 | uired, | Dis    | posed of              | f, or Ben                       | eficiall  | y Owned   |   |  |  |                       |  |
| Date   |   |  | Date        | nsactio<br>h/Day/\    |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |         | 3. 4. Securities A Disposed Of (5) 8) |        |        |                       | Beneficially<br>Owned Following |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                      |  |                       |  |
|  |   |  |             |                       |   |   |         |                                       | Code   | v      | Amount                | ount (A) or (D)                 |   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                    |   |  |  | (Instr. 4)            |  |
| Common Stock 02  |   |  |             | 02/                   | 13/20   | 3/2018  |         | М                                     |        | 1,922  | 1,922 A               |                                 | 1,922   |   |   | D  |  |                       |  |
|  |   |  | Table II -  |                       |   |   |         |                                       |        |        | osed of,<br>convertib |                                 |   | Owned   |   |  |  |                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | Execution D | ate, Transa<br>Code ( |   |   |         | 6. Date E<br>Expiratio<br>(Month/I    | on Da  |        |                       | ies<br>g<br>Security            | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                               | derivative Securities Beneficia Owned Following Reported          | e Own<br>s Forr<br>lilly Dire<br>or In<br>(I) (II | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                       |  |
|  |   |  |             |                       | Code  | v   | (A)     | (A) (D)                               |        | able   | Expiration<br>Date    | Title                           | Amount<br>or<br>Number<br>of<br>Shares  |   | Transaction(s)<br>(Instr. 4)                      |  |  |                       |  |
| Restricted<br>Stock<br>Units   | (2)   | 12/15/2017                                 |             |                       | A   |   | 11,538  |                                       | (3)    |        | (3)                   | Common<br>Stock                 | 11,538  | \$0.00  | 11,538  | 3  | D  |                       |  |
| Restricted<br>Stock<br>Units   | (2)   | 12/15/2017                                 |             |                       | A   |   | 23,076  |                                       | (4)    |        | (4)                   | Common<br>Stock                 | 23,076  | \$0.00  | 23,076  | 6  | D  |                       |  |
| Restricted<br>Stock<br>Units   | (2)   | 02/13/2018                                 |             |                       | M   |   |         | 1,922                                 | (4)    |        | (4)                   | Common<br>Stock                 | 1,922   | \$0.00  | 21,154  | 4  | D  |                       |  |

## Explanation of Responses:

- 1. Restricted stock units ("RSUs") converted into common stock, \$0.001 par value per share (the "Common Stock"), of Casa Systems, Inc. (the "Company") on a one-for-one basis upon vesting of the units.
- 2. Each RSU represents the right to receive one share of Common Stock upon vesting, subject to the terms and conditions set forth in the applicable RSU agreement. In the sole discretion of the Company's board of directors, the Company may, with respect to any applicable vesting date, deliver to the reporting person Common Stock or cash having a fair market value equal to the number of shares of Common Stock underlying the portion of the RSU that vested on such date, payable within 30 days of the vesting date, less applicable taxes, subject to the reporting person's continued service relationship with the Company.
- 3. Scheduled to vest as to 100% of the original number of shares on November 13, 2018. The reporting person's Form 4, filed on December 18, 2017, erroneously reported this grant on Table I.
- 4. Scheduled to vest as to 8.3333% of the original number of shares at the end of each three-month period following November 13, 2017. The reporting person's Form 4, filed on December 18, 2017, erroneously reported this grant on Table I.

## Remarks:

/s/ Todd Keebaugh, attorney-infact

03/28/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.