SEC Form 4	
FORM 4	UNITED ST

ATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Xie Lucy				er Name <b>and</b> Ticker Systems Inc		ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Alle Lucy</u>				-			X	Director	10% (	Owner		
(Last)	(First)	(Middle)	3. Date	of Earliest Transac	tion (Month/D	ay/Year)	X	Officer (give title below)	Other below	(specify )		
C/O CASA SYSTEMS, INC.				2021			SVP of Operations					
100 OLD RIVI	ER ROAD											
(Street)			4. If Am	endment, Date of C	Driginal Filed (	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing (Check A	pplicable		
ANDOVER	МА	01810					X	Form filed by One	e Reporting Pers	son		
								Form filed by Mor Person	e than One Rep	oorting		
(City)	(State)	(Zip)										
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Securit	y (Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3,		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

		Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)		Disposed Of ( 5)	D) (Instr.	3, 4 and	Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
C	ommon Stock	01/04/2021		М		39,977	A	(1)	2,167,417	D	
С	ommon Stock	01/04/2021		<b>F</b> <sup>(2)</sup>		11,753	D	\$6.14	2,155,664	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		i of		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		curities Derivative rlying Security ative Security (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(3)	01/04/2021		М			39,977	(4)	(4)	Common Stock	39,977	\$0.00	119,931	D	

#### Explanation of Responses:

1. Restricted stock units ("RSUs") converted into common stock, \$0.001 par value per share (the "Common Stock"), of Casa Systems, Inc. (the "Company") on a one-for-one basis upon vesting of the units.

2. Shares withheld by the Company to satisfy tax withholding requirements on vesting of RSUs. No shares were sold.

Each RSU represents the right to receive one share of Common Stock upon vesting, subject to the reporting person's continued service relationship with the Company and the other terms and conditions set forth in the applicable RSU agreement. In the sole discretion of the Company's board of directors, the Company may, with respect to any applicable vesting date, deliver to the reporting person Common Stock or cash having a fair market value equal to the number of shares of Common Stock underlying the portion of the RSU that vested on such date, payable within 30 days of the vesting date, less applicable taxes.
 Scheduled to vest based upon the Company's level of achievement of pre-established performance or parameters, as approved by the Company's Board of Directors, related to either or both of (i) the Company's common stock, in each case, measured over the three-year period beginning on February 25, 2020 and ending on February 25, 2023, and subject to the other terms and conditions set forth in the applicable RSU agreement.

### **Remarks:**

## /s/ Jerry Guo, as Attorney-in-Fact

01/06/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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