| SEC Form 4 | |
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FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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| STATEMENT | OF | CHANGES | 5 IN |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

BENEFICIAL OWNERSHIP

| | | | | | or | Sec | tion $30(n)$ | or the | Investment | Con | npany Ac | t of 1940 |) | | | | | | |
|--|---|--|---|-----------------|---|--|--------------|---|---|------------------|--|--|---|---|--|---------------------|--|---|---------|
| 1. Name and Address of Reporting Person [*] Durkin Edward | | | | | 2. Issuer Name and Ticker or Trading Symbol Casa Systems Inc [CASA] | | | | | | | | | eck all applic | | | | | |
| (Last) | st) (First) (Middle) O CASA SYSTEMS, INC. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/12/2023 | | | | | | | | _ , | | | below) | Other (: below) m CEO | specify |
| 100 OLD RIVER ROAD | | | | 4. li | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| (Street) ANDOV | 'ER M | 1A | 01810 | | - | | | | | | | | | 2 | | led by Mo | | orting Perso 1 One Repo | |
| (City) | (S | State) | (Zip) | | - Rı | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | |
| Image: Statisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | Execution Date, | | , Transaction Dispose Code (Instr. 5) | | urities Acquired (A) sed Of (D) (Instr. 3, | | | Beneficia Owned F | ties cially (d Following | | n: Direct r Indirect Istr. 4) | 7. Nature of Indirect Beneficial Ownership | | | | |
| | | | | | | Code | v | Amoun | t (/ | A) or D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | 4. Transaction Code (Instr. 8) | | | | 6. Date Exer Expiration E (Month/Day) | | 7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4) | | ecurity | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securitie Beneficia Owned Following Reported Transacti | e s ally g | Ownershi Form: Direct (D) or Indirec (I) (Instr. 4 | Beneficial Ownership t (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | | xpiration ate | Title | 0 N | mount r umber f Shares | | (Instr. 4) | 1011(3) | | | |

Explanation of Responses:

(1)

Restricted

Stock

Units

1. Each restricted stock unit ("RSU") represents the right to receive one share of common stock, \$0.001 par value per share (the "Common Stock"), of Casa Systems, Inc. (the "Company") upon vesting, subject to the reporting person's continued service relationship with the Company and the other terms and conditions set forth in the applicable RSU Agreement. In the sole discretion of the Company's board of directors, the Company may, with respect to any applicable vesting date, deliver to the reporting person Stock or cash having a fair market value equal to the number of shares of Common Stock underlying the portion of the RSU that vested on such date, payable within 30 days of the vesting date, less applicable taxes.

(2)

2. The RSUs are scheduled to vest over one year, with 25% of the shares underlying the award vesting on June 17, 2023, and the remainder of the shares underlying the award vesting in three equal quarterly installments thereafter. The RSUs have no expiration date.

/s/ Timothy C. Rodenberger, as 05/16/2023

290,149

\$0.00

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D

Attorney-in-Fact

Commo

Stock

(2)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/12/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.