

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT  
Pursuant to Section 13 or 15(d)  
of The Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): January 21, 2022**

**Casa Systems, Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**001-38324**  
(Commission  
File Number)

**75-3108867**  
(IRS Employer  
Identification No.)

**100 Old River Road**  
**Andover, Massachusetts**  
(Address of principal executive offices)

**01810**  
(Zip Code)

**Registrant's telephone number, including area code: (978) 688-6706**

**Not Applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

<b>Title of each class</b>	<b>Trading Symbol(s)</b>	<b>Name of each exchange on which registered</b>
<b>Common Stock, \$0.001 par value per share</b>	<b>CASA</b>	<b>The Nasdaq Stock Market LLC</b>

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

**Resignation of Scott Bruckner as Chief Financial Officer**

On January 21, 2022, Scott Bruckner, the Chief Financial Officer and principal financial officer of Casa Systems, Inc. (the “Company”), submitted notice to the Company of his resignation, effective as of April 3, 2022. Mr. Bruckner is leaving the Company to attend to family issues and his decision to resign was not the result of any disagreement with the Company.

**Item 7.01. Regulation FD**

On January 26, 2022, the Company issued a press release announcing the resignation of Mr. Bruckner. A copy of the press release is furnished hereto as Exhibit 99.1 and incorporated into this Item 7.01 by reference.

The information in this Item 7.01 of Form 8-K, including the accompanying Exhibit 99.1, shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 (the “Exchange Act”), or otherwise subject to the liability of such section, nor shall such information be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, regardless of the general incorporation language of such filing, except as shall be expressly set forth by specific reference in such filing.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits

<u>Exhibit No.</u>	<u>Description</u>
99.1	<a href="#">Press release of Casa Systems, Inc. dated January 26, 2022.</a>
104	Cover Page Interactive Data File (formatted as Inline XBRL and contained in Exhibit 101).

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 26, 2022

**Casa Systems, Inc.**

By: /s/ Scott Bruckner  
Scott Bruckner  
Chief Financial Officer



## NEWS RELEASE

**Casa Systems Announces Departure of Chief Financial Officer  
Reaffirms FY 2021 Guidance and Announces Earnings Release Date**

**ANDOVER, Mass.** – January 26, 2022 – Casa Systems, Inc. (Nasdaq: CASA), a leading provider of physical and cloud-native infrastructure technology solutions for wireless, cable and fixed broadband networks, today announced that Scott Bruckner will be resigning as Chief Financial Officer (“CFO”) of the Company, effective April 3, 2022, to attend to family issues. The Company also reaffirmed its full year guidance and announced the date of its fourth quarter earnings call.

Mr. Bruckner’s departure is not based on any disagreement with or irregularities in the Company’s accounting principles, practices or financial statement disclosures. Casa Systems has already initiated a search for Mr. Bruckner’s successor. Mr. Bruckner will take an active role in this process as he continues to support the Company during this transition.

“While I regret Scott’s departure, I am enormously grateful for the significant contributions he has made to our business,” said Jerry Guo, President and Chief Executive Officer of Casa Systems. “During his more than four years with Casa Systems, Scott has been a critical part of the Company’s success with his skillful oversight of our finance, investor relations and strategy functions. I want to thank Scott for his partnership and I wish him continued success in his future endeavors.”

“I could not be more proud of what Jerry and the Company have accomplished during my time at Casa Systems,” said Mr. Bruckner. “This is a world-class team that is revolutionizing cloud-native 5G technology globally. I am deeply grateful to have had the opportunity to work with such exceptionally talented professionals. I look forward to watching Casa’s further progress and continued growth.”

The Company also announced that it is reaffirming its fiscal year 2021 guidance as previously provided in the Company’s earnings release for the quarter ended September 30, 2021, a copy of which the Company furnished as an exhibit to its Current Report on Form 8-K dated November 2, 2021 and includes reconciliations of each such non-GAAP financial measure to its most directly comparable GAAP measure.

The Company further announced that it will release its fourth quarter and full year 2021 results on Thursday, February 24, 2022, after the close of the U.S. financial markets. The Company will host a conference call to discuss its results at 5 p.m. Eastern Standard Time the same day. A live audio webcast of the Company’s fourth quarter and full year results discussion will be accessible on the Company’s Investor Relations website at <http://investors.casa-systems.com>.

To participate in the conference call, please dial 1-877-407-4019 (domestic) and 1-201-689-8337 (international). Callers should ask to be joined to the Casa Systems call with Conference ID 13723991. An archived version of the Company's webcast will also be available on the Company's website for 90 days after the event.

**About Casa Systems, Inc.**

Casa Systems, Inc. (Nasdaq: CASA) delivers the core-to-customer building blocks to speed 5G transformation with future-proof solutions and cutting-edge bandwidth for all access types. In today's increasingly personalized world, we create disruptive architectures built specifically to meet the needs of service provider networks. Our suite of open, cloud-native network solutions unlocks new ways for service providers to build networks without boundaries and maximize revenue-generating capabilities. Commercially deployed in more than 70 countries, Casa Systems serves over 475 Tier 1 and regional service providers worldwide. For more information, visit <http://www.casa-systems.com>.

**CONTACT INFORMATION:**

**IR Contact**

Mike Cummings or Jackie Marcus

617-982-0475

[investorrelations@casa-systems.com](mailto:investorrelations@casa-systems.com)

Source: Casa Systems, Inc.